

**Summary of Final Reports Issued from 1st April - 31st August 2014**

**Appendix A**

<b><u>Department</u></b>	<b><u>Service</u></b>	<b><u>Job</u></b>	<b><u>Final Report</u></b>	<b><u>Opinion/Action</u></b>	<b><u>HI Recommendation</u></b>
Adults & Communities	Departmental Wide	B/F - Dementia Grant Funding	23-Apr-14	Declaration letter	No
Adults & Communities	Departmental Wide	B/F - Community Capacity (Capital) Grant	28-May-14	Declaration letter	No
Adults & Communities	Departmental Wide	B/F - Disciplinary Policy - Operational Application of the Corporate Policy	24-Apr-14	Substantial	No
Adults & Communities	Personal Care & Support	B/F - Effective Support Follow Up	14-May-14	Substantial	No
Adults & Communities	Promoting Independence	B/F - Valuing People - Investigatory	11-Jul-14	Allegations not proven	No
Adults & Communities	Strategy & Commissioning	B/F - Sector Led Improvements	16-May-14	Substantial	No
Adults & Communities	Strategy & Commissioning	B/F - Contract Monitoring Procedures	28-May-14	Substantial	No
Adults & Communities	Strategy & Commissioning	B/F - Business Continuity - Operational Delivery	28-May-14	Substantial	No
Adults & Communities	Strategy & Commissioning	Decommissioning of SSIS - Adults (and Children's)	14-Aug-14	Partial	Yes
Chief Executives	Legal Services	Freedom of Information	28-Jul-14	Substantial	No
Chief Executives	Regulatory Services	B/F - Registrars' Revised Remuneration Procedures	07-Apr-14	Substantial	No
Children & Families	Children's Social Care	B/F - Preparedness for External Inspections	04-Apr-14	Substantial	No
Children & Families	Children's Social Care	B/F - Safeguarding	08-Apr-14	Substantial	No
Children & Families	Departmental Wide	B/F - Performance Management Operational Delivery	16-Jun-14	Substantial	No
Children & Families	Departmental Wide	Disciplinary Procedures	11-Aug-14	Substantial	No
Children & Families	Departmental Wide	Business Continuity	21-Jul-14	Substantial	No
Children & Families	Supporting Leicestershire Families & Safe Communities	Review and certification of returns to DCLG	20-Aug-14	Full assurance	No
Children & Families	Supporting Leicestershire Families & Safe Communities	Review and certification of returns to DCLG	13-May-14	Full assurance	No
Consolidated Risk	Corporate Wide	Key financial systems - assurance on control functions (13-14 final)	31-Jul-14	N/a	No
Consolidated Risk	Corporate Wide	B/F - M Star	01-Apr-14	Partial	Yes
Consolidated Risk	Corporate Wide	B/F - Counter Fraud & Conduct - Staff Induction	06-May-14	Substantial	No
Consolidated Risk	Corporate Wide	B/F - Key Financial Systems Assurance on Control Functions 13/14 Interim	25-Apr-14	Substantial	No
Consolidated Risk	Corporate Wide	B/F - Counter Fraud & Conduct - Purchase Cards	06-May-14	Substantial	No
Consolidated Risk	Corporate Wide	B/F - MTFs - Phase One	05-Jun-14	Substantial	No
Consolidated Risk	Corporate Wide	B/F - Project Management - Operational Delivery	10-Jun-14	Substantial	No
Consolidated Risk	Corporate Wide	Annual Governance Statement - 13/14	18-Jun-14	Substantial	No
Corporate Resources	Customer Services & Operations	B/F - Business Continuity - Operational Delivery	16-Apr-13	Substantial	No
Corporate Resources	Customer Services & Operations	B/F - Educational Services to Schools - Traded Services Operational Delivery	16-Apr-14	Substantial	No
Corporate Resources	Departmental Wide	B/F - Performance Management Operational Delivery	05-Aug-14	Substantial	No
Corporate Resources	Strategic Finance, Procurement & Property	Carbon Reduction Targets	31-Jul-14	Declaration letter	No
Corporate Resources	Strategic Information, Technology & Communications	ISRA - Generic User Account	25-Apr-14	Substantial	No
Corporate Resources	Strategic Information, Technology & Communications	ISRA - Address Base	15-May-14	Substantial	No
Corporate Resources	Strategic Information, Technology & Communications	ISRA - AVCO	20-May-14	Substantial	No
Corporate Resources	EMSS	Teachers Pensions - Readiness Check & Imp of 13/14 recs	30-Jun-14	Advisory	No
Corporate Resources	EMSS	Teachers Pensions - End of Year Certificate	31-Aug-14	Partial	No
Corporate Resources	EMSS	s151 Commissioned testing for External Audit purposes	31-Aug-14	Satisfactory	
Environment & Transport	Departmental Wide	Business Continuity	10-Jun-14	Substantial	No
Environment & Transport	Environment	B/F - Whetstone Income	03-Apr-14	Substantial	No
Environment & Transport	Transportation	Better Bus Area Grant Certification	30-Jul-14	Declaration letter	No
Environment & Transport	Transportation	Home to school transport policy	14-Aug-14	Substantial	No
Public Health	Departmental Wide	Validation of Grant Usage	28-Aug-14	Full assurance	No
Public Health	Departmental Wide	B/F - Commissioning - Operational Delivery	06-May-14	Substantial	No
Pension Fund	Investments	B/F - Property Holdings	10-Jun-14	Full assurance	No
Pension Fund	Pensions	Pension Increase	12-Jun-14	Full assurance	No
Pension Fund	Pensions	B/F - Joint Audit	15-Apr-14	Substantial	No
Pension Fund	Pensions	Migration to Altair	19.06.14	Substantial	No
Pension Fund	Pensions	Pension Contribution Banding	22-Jul-14	Substantial	No

This page is intentionally left blank